

## **§ 1022.500**

excess of \$100 deposited in such account through its internal recordkeeping system to its depository institution, or to supply a description of a deposited check in excess of \$100;

(8) A record maintaining the name, address and taxpayer identification number, if available, of any person presenting a certificate of deposit for payment, as well as a description of the instrument and date of transaction;

(9) A system of books and records that will enable the currency dealer or exchanger to prepare an accurate balance sheet and income statement.

(c) This section does not apply to banks that offer services in dealing or changing currency to their customers as an adjunct to their regular service.

## **Subpart E—Special Information Sharing Procedures To Deter Money Laundering and Terrorist Activity**

### **§ 1022.500 General.**

Money services businesses are subject to the special information sharing procedures to deter money laundering and terrorist activity requirements set forth and cross referenced in this subpart. Money services businesses should also refer to subpart E of part 1010 of this chapter for special information sharing procedures to deter money laundering and terrorist activity contained in that subpart which apply to money services businesses.

### **§ 1022.520 Special information sharing procedures to deter money laundering and terrorist activity for money services businesses.**

- (a) Refer to §1010.520 of this chapter.
- (b) [Reserved]

### **§ 1022.530 [Reserved]**

### **§ 1022.540 Voluntary information sharing among financial institutions.**

- (a) Refer to §1010.540 of this chapter.
- (b) [Reserved]

## **31 CFR Ch. X (7–1–11 Edition)**

## **Subpart F—Special Standards of Diligence; Prohibitions; and Special Measures for Money Services Businesses**

### **§ 1022.600 General.**

Money services businesses are subject to the special standards of diligence; prohibitions; and special measures requirements set forth and cross referenced in this subpart. Money services businesses should also refer to subpart F of part 1010 of this chapter for special standards of diligence; prohibitions; and special measures contained in that subpart which apply to money services businesses.

### **§§ 1022.610—1022.670 [Reserved]**

## **PART 1023—RULES FOR BROKERS OR DEALERS IN SECURITIES**

### **Subpart A—Definitions**

Sec.

1023.100 Definitions.

### **Subpart B—Programs**

1023.200 General.

1023.210 Anti-money laundering program requirements for brokers or dealers in securities.

1023.220 Customer identification programs for broker-dealers.

### **Subpart C—Reports Required To Be Made By Brokers or Dealers in Securities**

1023.300 General.

1023.310 Reports of transactions in currency.

1023.311 Filing obligations.

1023.312 Identification required.

1023.313 Aggregation.

1023.314 Structured transactions.

1023.315 Exemptions

1023.320 Reports by brokers or dealers in securities of suspicious transactions.

### **Subpart D—Records Required To Be Maintained By Brokers or Dealers in Securities**

1023.400 General.

1023.410 Additional records to be made and retained by brokers or dealers in securities.

### **Subpart E—Special Information Sharing Procedures To Deter Money Laundering and Terrorist Activity**

1023.500 General.